

Appendix A Strategic Risk Report - Somerset County Council (SLT)

	Risk Ref	Risk	Uncontrolled Risk	Action Required (In progress Only)	Control Owner Review Date Target Date	Current Risk Score	Controlled Risk Assessment for Financial Year	Comments
	ORG0043	<p>Risk Description: Strategic Risk 2016: Maintain a sustainable budget: Reserves will not be sufficient to manage any in-year overspends for the forthcoming financial year 2017/18</p> <p>Cause: Unforeseen expenditure and overspends exceed the planned provision</p> <p>Consequence: The budget contingency is exhausted and general reserves are approaching minimum recommended levels (£15 m). Where planned expenditure is anticipated to exceed available resources then a S114 and actions report must be produced by The Section 151 officer.</p>	<p>Likelihood :5 Impact :5</p> <p style="text-align: center;">25</p> <p>Escalate to SRMG</p>			<p>Likelihood :4 Impact :5</p> <p style="text-align: center;">20</p> <p>Escalate to SRMG</p>	<p>Likelihood :4 Impact :5</p> <p style="text-align: center;">20</p> <p>Escalate to SRMG</p>	09/06/2017 Score remains the same pending work to establish the end of year forecast. Initial predictions suggest a significant overspend and work over the next two months is required to assess what mitigating actions can be put in place.

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	ORG0009 Risk Owner: Julian Wooster Next Risk Review Date: 07/09/2017	Risk Description: Strategic Risk 2016: Safeguarding Children: We fail to deliver our statutory service delivery duties and legal obligations in relation to vulnerable children. Cause: systemic leadership and management challenges Consequence: Possible abuse, injury or loss of life to a vulnerable child caused by service failure. Reduced public confidence; emergency measures; increased inspection; personal litigation claims; negative publicity for both the Council and partners; possible financial penalty or service is removed from Council control.	Likelihood :5 Impact :5 25 Escalate to SRMG	<ul style="list-style-type: none"> CYPP 7 Improvement Programmes Review: The Children's Trust Executive are pleased with the progress against the 7 Improvement Programmes, but recognise there is still much work to be done. Action plans for 2017/18 have been drawn up with a focus on a stepped improvement over this second year to ensure year 3 achieves the outcomes of the CYPP in 2019 <i>In Progress (35% complete)</i> 	Fiona Phur 07/09/2017 31/08/2017	Likelihood :4 Impact :5 20 Escalate to SRMG	Likelihood :4 Impact :5 20 Escalate to SRMG	07/06/2017 Progress for the first year of the Children and Young People's Plan has been reported to the Children's Trust Executive and the Cabinet. The Children's Trust Executive are pleased with the progress against the 7 Improvement Programmes, but recognise there is still much work to be done. Action plans for 2017/18 have been drawn up with a focus on a stepped improvement over this second year to ensure year 3 achieves the outcomes of the CYPP in 2019. Ofsted quarterly monitoring visits have concluded adequate progress is being made and DfE intervention has confirmed a "significant improvement" in Somerset's Children's Services, including more manageable case-loads, a more stable workforce and better partnership working as reported by the Minister in December 2016. Despite this, until a re-inspection, services are judged inadequate. Change is evident but universal improvement remains is a challenge.

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	ORG0036 Risk Owner: Patrick Flaherty Next Risk Review Date: 05/06/2017	Risk Description: Strategic Risk 2016: Partnership working: We fail to increase our partnership working with a variety of organisations and agencies to deliver cost effective services and increase the investment in our County Cause: the Council does not look for, or instigate new opportunities for future growth and increased efficiency in service delivery Consequence: Costs of service delivery increase, we become less successful in delivering services and fail to secure new investment.	Likelihood :4 Impact :5 20 Escalate to SRMG	<ul style="list-style-type: none"> Develop preferred model for integrated working with the NHS Reviewed 28/03/2017: No change - review post election (4th May) <i>In Progress</i> (95% complete) Devolution Proposal for Somerset & potential partners - Statement of Intent to Central Government 4 Sept 2015 Reviewed 28/03/2017: no change - review post election (4 May) <i>In Progress</i> (90% complete) Linked to /001: SCC is working closely with CCS, and three Somerset NHS Trusts to develop our STP. Reviewed 28/03/2017: no change - review post election (4 May) <i>In Progress</i> (50% complete) 	Patrick Flaherty 05/06/2017 31/07/2017 Patrick Flaherty 05/06/2017 31/08/2017 Patrick Flaherty 05/06/2017 31/08/2017	Likelihood :4 Impact :5 20 Escalate to SRMG	Likelihood :3 Impact :5 15 Monthly	28/03/2017 Reviewed 28/03/2017. Review post election (4 May)
	ORG0032 Risk Owner: Richard Williams Next Risk Review Date: 30/06/2017	Risk Description: Strategic Risk 2017: Information Governance: An event occurs that results in a statutory breach of data protection legislation. This could be an ICT security vulnerability that compromises the PSN network, a significant disclosure of sensitive personal data or another procedural breach of the EU GDPR. Cause: An intentional exploitation of a security vulnerability in the SCC network by hostile agents such as hackers or malware. Non-compliance with the articles and recitals in the EU GDPR in 2018. A significant unintentional data breach of sensitive personal or business data in email, post, fax by an employee, contractor, service provider or an SCC Councillor.	Likelihood :5 Impact :4 20 Escalate to SRMG	<ul style="list-style-type: none"> Publication of EUGDPR Privacy Notice The EU-GDPR requires the publication of a comprehensive Privacy Notice detailing the services provided, the personal data processed, the sharing agreements, the retention periods and access arrangements for data subjects <i>In Progress</i> (20% complete) Induction training for Information Security and Data Protection The EU-GDPR requires that all employees are fully aware of their responsibilities for processing personal data. SCC will endeavour to ensure all new employees are trained in Information Security and Data Protection within 3 months of commencing employment. <i>In Progress</i> (10% complete) 	Peter Grogan 30/08/2017 01/05/2018 Peter Grogan 30/08/2017 01/05/2018	Likelihood :4 Impact :4 16 Escalate to SRMG	Likelihood :3 Impact :3 9 Quarterly	30/05/2017 The Information Governance Team continues to work towards compliance with the GDPR and the deadline of May 2018. There are significant resource issues due to the need to recruit a new Information Governance Officer due to the current post holder accepting a higher paid role in another LA.

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		<p>Consequence: The Council is exposed to fraud, loss of reputation, legal action by clients or employees and / or the possibility of fines from the Information Commissioner's Office (currently estimated at £100k - £200k but potentially much higher in 2018). Members of the Public are exposed to harm or distress due to the significant unauthorised disclosure of personal data.</p>		<ul style="list-style-type: none"> ◦ Annual Refresher Training for Information Security and Data Protection The EU-GDPR requires that all employees are fully aware of their responsibilities for processing personal data. SCC will endeavour to ensure all current employees are trained in Information Security and Data Protection by issuing mandatory IG training in December each year <i>In Progress (90% complete)</i> ◦ Publication and distribution of EU-GDPR policies to all employees The EU-GDPR requires that all employees are made aware of SCC policy for processing personal data. SCC will endeavour to ensure all employees have received mandatory Information Security and Data Protection, by Metacompliance, prior to the adoption of the EUGDPR in may 2018. <i>In Progress (20% complete)</i> ◦ Information Sharing Agreements Somerset County Council will review and implement all current Information Sharing Agreements in compliance with the EU-GDPR <i>In Progress (15% complete)</i> 	<p>Peter Grogan 30/08/2017 30/07/2017</p> <p>Peter Grogan 30/08/2017 01/05/2018</p> <p>Peter Grogan 30/08/2017 01/05/2018</p>			

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				<ul style="list-style-type: none"> <p>◦ Information Asset register Creation of a comprehensive Information Asset Register to enable SCC to identify where personal data is held, who is responsible for it and any risks associated with processing Business analyst from ICT is working on the initial IAR linked to the Applications register, anticipated completion June 2017. IAR has now been drafted, it is attached to the ICT Application asset register and the fields required are being formalised on target for June 2017 <i>In Progress (40% complete)</i></p> <p>◦ Effective management of Data Subjects rights SCC must ensure that all data subjects rights are respected with regard to lawful and fair processing and specifically access to records and DSAR processing <i>In Progress (30% complete)</i></p> 	<p>Peter Grogan 30/08/2017 01/01/2018</p> <p>Peter Grogan 30/08/2017 01/05/2018</p>			

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	ORG0011	<p>Risk Description: Strategic Risk 2016: Health & Safety: Death or injury to a member(s) of the public or a member(s) of staff, volunteers, visiting contractors or service users</p> <p>Cause: Failure to manage our activities, assets, premises and contracts in compliance with our statutory duties and organisational policies in respect of Health & Safety, either directly, or indirectly through our strategic partners</p> <p>Consequence: 1. Death or serious harm ("dangerous occurrence" (defined by legislation)) to a service user, pupil, member of the public or a member of staff; 2. Criminal prosecution and enforcement action under H&S / Fire / Corporate Manslaughter legislation. 3. Civil Claims and/or personal litigation claims for negligence 4. Adverse publicity and damage to reputation for the Council 5. Increased audit inspection 6. Increased costs and financial penalties</p>	<p>Likelihood :5 Impact :5</p> <p style="background-color: red; color: white; text-align: center; padding: 2px;">25</p> <p>Escalate to SRMG</p>	<ul style="list-style-type: none"> ◦ Introduce arrangements on the Learning Centre for key policies/ arrangements and training to be completed Update 12/06/17 <p>Met with Graham Holmes, Martin Shattock and Fiona Packer 22nd May. Decision made to roll out a monthly training plan. TLC will be used and each month a course will be promoted to be completed. H&S have identified the roles that need to complete each module - the group relevant group will be added to that course and the completion rates will be monitored by the correct people/groups.</p> <p>Plan to start roll-out in September 2017. Potential for one module (challenging those not displaying a pass) to commence sooner. <i>In Progress (70% complete)</i></p> <ul style="list-style-type: none"> ◦ Deliver against action plan agreed following SWAP audit of Premises Management 10/04/2017: At present ATRIUM is unable to provide any evidence of Premise Managers Activity/Monitoring Function. However through expanding the use of RAMIS this is now in place and will be monitored through the rest of 2017 reports raised at HSPSG Meetings in April, July and October to confirm processes are functioning. By GLH <i>In Progress (75% complete)</i> 	<p>Clive Mallon 12/07/2017 01/10/2017</p> <p>Claire Lovett (LP) 08/06/2017 31/07/2017</p>	<p>Likelihood :3 Impact :5</p> <p style="background-color: orange; text-align: center; padding: 2px;">15</p> <p>Monthly</p>	<p>Likelihood :3 Impact :5</p> <p style="background-color: orange; text-align: center; padding: 2px;">15</p> <p>Monthly</p>	<p>26/04/2017 26/04/2017: Review C Squire: Current score remains as stated. H & S Service moved to Property.</p>

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				<ul style="list-style-type: none"> ◦ Ensure visibility of appropriate health and safety-related contract management activity in relation to key contracts 10/04/2017: This has now been published and the HSPSG will be informed at the April 2017 Meeting. By GLH <i>In Progress (20% complete)</i> ◦ Publish and implement Corporate H&S Training Policy 10/04/2017: This has now been published and the HSPSG will be informed at the April 2017 Meeting. By GLH <i>In Progress (90% complete)</i> ◦ Create common processes so staff can be interchanged across County <i>In Progress (10% complete)</i> ◦ Services to include actions related to meeting their H&S responsibilities within their planning processes 10/04/2017: Core brief for April reflects the need to service teams to include H&S as a standing item in Team Meetings. In addition an Induction checklist has been created. This will all be covered again at the HSPSG in April 2017 and monitored at future HSPSG. <i>In Progress (10% complete)</i> 	<p>Carly Wedderburn 05/07/2017 31/08/2017</p> <p>Graham Holmes 08/06/2017 28/07/2017</p> <p>Heidi Boyle 04/07/2017 30/03/2018</p> <p>Claire Lovett (LP) 08/06/2017 30/09/2017</p>			

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	ORG0040	<p>Risk Description: Strategic Risk 2015: Benefit Realisation: Failure to deliver service transformation (financial and non-financial benefits), and necessary cost savings, performance improvements, and legislative changes requiring significant service re-design through our Core Council Programme.</p> <p>Cause: Transformation not considered a corporate priority with funding and resources not prioritised to this area. A lack of joint commissioning priorities to identify innovative ideas for future transformational change and a lack of collaboration between SCC services and partners.</p> <p>Consequence: Inability to balance the budget, reputational damage and fines through a failure to meet legislative change, stagnation or deterioration in performance impacting on the service we provide to our customers (including some of the most vulnerable people in the community).</p>	<p>Likelihood :5 Impact :5</p> <p style="text-align: center;">25</p> <p>Escalate to SRMG</p>	<ul style="list-style-type: none"> ◦ Review need for Business Case refresher training during service planning UPDATE 02022016: business case training and master classes rolled out. Description amended to reflect this <i>In Progress</i> (10% complete) ◦ Collaboration between Services and provision of specialist knowledge to the Core Council Programme projects/programmes Reviewed 02022016: Review effectiveness of SME forum November 2016 <i>In Progress</i> (50% complete) 	<p>Daniel Forgham-Healey 02/02/2017 31/03/2017</p> <p>Daniel Forgham-Healey 01/11/2016 30/11/2016</p>	<p>Likelihood :3 Impact :5</p> <p style="text-align: center;">15</p> <p>Monthly</p>	<p>Likelihood :3 Impact :5</p> <p style="text-align: center;">15</p> <p>Monthly</p>	<p>13/06/2016 The Core Council Programme is now well embedded in the organisation and SLT reporting cycle. I am therefore satisfied that the benefits realisation linked to these core programmes is both well monitored, reported and has due corporate priority / visibility</p>

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	ORG0007	<p>Risk Description: Strategic Risk 2014: Business Continuity: Short or long-term service disruption may occur</p> <p>Cause: [because of] Lack of formal arrangements in place or being finalised that enable managers to review risks in the planning for business continuity</p> <p>Consequence: [resulting in] Major disruptive challenge to service provision and unplanned costs.</p>	<p>Likelihood :3 Impact :5</p> <p style="text-align: center;">15</p> <p>Monthly</p>	<ul style="list-style-type: none"> ◦ Business Continuity Steering Group Hold regular meetings of the Business Continuity Steering Group. Membership includes SCC service representatives and colleagues from the District Councils. Purpose of the Steering Group is to embed and promote effective business continuity arrangements throughout the local authorities and contracted services. In 2017/18 meetings are scheduled for May, August, November and March. <i>In Progress (10% complete)</i> ◦ Annual test of business continuity plans Hold a table-top exercise to test the SCC Corporate Business Continuity Plan and the supporting service level plans. District councils are invited to participate. Build on the lessons identified in Ex Viral Crisis held in March 2017. <i>In Progress (10% complete)</i> ◦ Annual update of SCC Corporate Business Continuity Plan Revise the SCC Corporate Business Continuity Plan annually or following an activation of the corporate level arrangements. Plan was last updated and re-issued in January 2017. <i>In Progress (10% complete)</i> 	<p>Nicola Dawson 26/08/2017 31/03/2018</p> <p>Nicola Dawson 26/11/2017 31/03/2018</p> <p>Claire Lovett (LP) 26/06/2017 31/01/2018</p>	<p>Likelihood :3 Impact :4</p> <p style="text-align: center;">12</p> <p>Quarterly</p>	<p>Likelihood :3 Impact :4</p> <p style="text-align: center;">12</p> <p>Quarterly</p>	08/05/2017 The risk score has reached it controlled risk score target and remains at 12. P Hewitt 08/05/17

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	ORG0002	<p>Risk Description: Strategic Risk 2015: Commissioning: Failure to adequately commission services and/or failure in the market and supply chain</p> <p>Cause: Demand led response and not outcome driven (trying to deliver the same service with less resources is no longer feasible), limits the ability to deploy resources previously identified for investment in preventative services</p> <p>Consequence: Resulting in transfer and a reduction in planned long term savings and the council being unable to meet statutory obligations and/or to deliver the County Plan objectives, Incur additional financial costs, fail to achieve value for money, reputation damage, vulnerable individuals at greater risk, financial penalty</p>	<p>Likelihood :5 Impact :5</p> <p style="text-align: center;">25</p> <p>Escalate to SRMG</p>	<ul style="list-style-type: none"> ◦ Workforce Development in place to ensure commissioning staff have the right skills & competencies for the role 03/02/2016: New SM in post and developing plan to embed commissioning in SCC including workforce development plan. Commissioning Board to review plan in February. <i>In Progress (60% complete)</i> ◦ SCC Service level Business Continuity Plans in place for supply chain failure There remains a considerable amount of work to do on supplier failure but the Business Continuity Steering Group is treating this as a priority at its next meeting. Paula Hewitt 26/07/16. There are currently 56 SCC service level business continuity plans every one of which has considered the risk of supply chain failure. <i>In Progress (90% complete)</i> ◦ A&H commissioning intentions for 2015 16 has been drafted and commissioning structure revised to align it to the TOM. A&H commissioning intentions for 2015 16 has been drafted and commissioning structure revised to align it to the TOM. We are currently working through workplans to ensure resources are aligned to the new Commissioning Intentions <i>In Progress (10% complete)</i> ◦ Discussions with commissioners to ensure information available is appropriate and readily accessible. Review 5 Mar 2015: Regular updates with SCMG on a monthly basis regarding latest insight and intelligence <i>In Progress (60% complete)</i> 	<p>Mickey Green 02/08/2017 28/07/2017</p> <p>Nicola Dawson 26/08/2017 28/04/2017</p> <p>Stephen Chandler 06/05/2017 28/04/2017</p> <p>Malc Riches 30/04/2017 30/09/2017</p>	<p>Likelihood :3 Impact :4</p> <p style="text-align: center;">12</p> <p>Quarterly</p>	<p>Likelihood :3 Impact :4</p> <p style="text-align: center;">12</p> <p>Quarterly</p>	10/04/2017 Risk score remains unchanged. P Hewitt 10/04/17

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	ORG0024	<p>Risk Description: Strategic Risk 2011: Operations: Quality of service delivery is inconsistent and fails to meet our customers expectations</p> <p>Risk Owner: Richard Williams</p> <p>Next Risk Review Date: 03/02/2017</p> <p>Cause: Funding constraints coupled with increasing demand. This continues as we move to a new MTFP period and the likely CSR announcement for us.</p> <p>Consequence: Loss of customer confidence and trust in the Council, impacting on the reputation of the council</p>	<p>Likelihood :4 Impact :4</p> <p>16</p> <p>Escalate to SRMG</p>	<ul style="list-style-type: none"> Putting in place effective contract management at a senior level throughout the Council Update 25/06: Greater commercial awareness cascaded through organisation. Establishing greater clarity between day - to -day Contract Management via operations and Commercial management delivered via procurement team. as part of SWAP Audit <i>In Progress (40% complete)</i> Ensure adequate management information and reporting is in place to monitor quality through the Business Intelligence Function <i>In Progress (80% complete)</i> 	<p>Richard Williams 30/09/2016 31/03/2017</p> <p>Malc Riches 07/09/2017</p>	<p>Likelihood :4 Impact :3</p> <p>12</p> <p>Quarterly</p>	<p>Likelihood :4 Impact :3</p> <p>12</p> <p>Quarterly</p>	03/08/2016 This remains a risk to the Council as budgets tighten still further and as in year demands continue to grow, particularly in children's services and adult services. As such its status needs to remain.
	ORG0010	<p>Risk Description: Strategic Risk 2016: Safeguarding Adults: We fail to deliver our statutory safeguarding activity in relation to adults</p> <p>Risk Owner: Stephen Chandler</p> <p>Next Risk Review Date: 10/07/2017</p> <p>Cause: there is a risk that death or injury to a vulnerable member of the public or a member of staff, where the county council has not completely fulfilled its responsibilities may occur</p> <p>Consequence: leading to increased audit inspections, personal litigation claims, adverse publicity for the council and possible financial penalties</p>	<p>Likelihood :3 Impact :5</p> <p>15</p> <p>Monthly</p>	<ul style="list-style-type: none"> Establish a dedicated ASC Safeguarding and Quality Service and ensure it effectively and efficiently manages and responds to A dedicated Safeguarding Service has been in place since Sept 2015. Action plan in place to manage growing demand, and significant work now underway to ensure performance standards are understood and targets routinely met. Recent SWAP Audit undertaken which recognises both strengths and areas requiring further attention. ASC Restructure (expected by June 2017) will have significant impact on current model of service delivery and capacity, and split the current function between ops and commissioning. <i>In Progress (90% complete)</i> 	<p>Mel Lock 10/07/2017 30/06/2017</p>	<p>Likelihood :3 Impact :4</p> <p>12</p> <p>Quarterly</p>	<p>Likelihood :3 Impact :4</p> <p>12</p> <p>Quarterly</p>	21/04/2017 Reviewed 20/4/2017 by M Lock. Impact reduced

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	ORG0042 Risk Owner: Chris Squire Next Risk Review Date: 24/07/2017	Risk Description: Strategic Risk 2015: HR: The risk of not having the employee capacity to deliver and support delivery of core front line services Cause: Combination of austerity measures and market forces in being able to attract suitably qualified people to work for the Council on a permanent basis Consequence: Reduced levels of service activity, more reliance on existing employees and possible issues with consistency on quality.	Likelihood :4 Impact :4 16 Escalate to SRMG	<ul style="list-style-type: none"> ◦ Locum's covering permanent posts. On-going recruitment campaign Reviewed 26/04/2017: No Change <i>In Progress</i> (50% complete) ◦ 'Entry level' schemes used (e.g. 'Step Up to Social Care') & graduate social workers Reviewed 26/04/2017: no change <i>In Progress</i> (60% complete) ◦ Closely monitored operationally & at Programme Improvement Boards Reviewed 26/04/2017: No change <i>In Progress</i> (75% complete) ◦ Develop process for establishment control Reviewed 26/04/2017: C Squire: no change <i>In Progress</i> (95% complete) ◦ Establish Adults Service Workforce Board Reviewed 26/04/2017: no change <i>In Progress</i> (75% complete) 	Chris Squire 24/07/2017 Chris Squire 24/07/2017 Chris Squire 24/07/2017 Chris Squire 24/07/2017 31/08/2017 Chris Squire 24/07/2017 31/10/2017	Likelihood :3 Impact :4 12 Quarterly	Likelihood :3 Impact :3 9 Quarterly	26/04/2017 Reviewed 26/04/2017: C Squire - No change
	ORG0001 Risk Owner: Paula Hewitt Next Risk Review Date: 08/08/2017	Risk Description: Strategic Risk 2014: Civil Emergencies: A major civil emergency results in loss of life and major disruption to services Cause: we do not adequately plan for civil emergencies including the testing of plans and prioritisation of our resources, Consequence: impact on Somerset County Council's reputation and standing locally and Nationally	Likelihood :4 Impact :5 20 Escalate to SRMG	<ul style="list-style-type: none"> ◦ Test the new Joint Emergency Response Arrangements: Exercise Electrum 2017 Hold an emergency exercise for all six Somerset local authorities to test the new sections of the Joint Corporate Emergency Response and Recovery Plan. The exercise is scheduled for October (deferred from June due to date clashes) and will be preceded by a programme of awareness briefing and training. During May, over 30 Business Support staff were trained in their emergency centre support roles. <i>In Progress</i> (25% complete) 	Nicola Dawson 13/09/2017 30/11/2017	Likelihood :2 Impact :5 10 Monthly	Likelihood :2 Impact :5 10 Monthly	08/05/2017 The risk score remains unchanged at this review. P Hewitt 08/05/17

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	ORG0031 Risk Owner: Trudi Grant (JB) Next Risk Review Date: 15/12/2017	Risk Description: Strategic Risk 2014: Public Health: Non-delivery of statutory functions and legal obligations in relation to protecting and improving the health and well-being of the local population Cause: Increased demand and costs of health and social care services Consequence: Possible deaths, inability to respond to serious disease outbreaks/epidemic, rises in avoidable deaths and morbidity. Lack of business continuity, reduced public confidence, litigation claims, bad publicity, reduced social and economic prosperity.	Likelihood :5 Impact :5 25 Escalate to SRMG			Likelihood :2 Impact :5 10 Monthly	Likelihood :2 Impact :5 10 Monthly	16/03/2017 Statutory Assurance has been reviewed - risk to be reviewed again in 6 months
	ORG0025 Risk Owner: Simon Clifford 2 Next Risk Review Date: 28/05/2017	Risk Description: Governance: Our decision-making cumulatively increases inequality Cause: As a result of decision-making which is ill-informed, unsubstantiated and the cumulative impact of these decisions being considered Consequence: Organisation - We may find that the consequences leave SCC open to legal challenge or action. Increased demand for and future costs of service. Community – The community could be potentially negatively impacted if there is not a collective consideration of changes to service. Whilst there is the potential for this	Likelihood :4 Impact :5 20 Escalate to SRMG	<ul style="list-style-type: none"> Staff and Members have an opportunity to understand their requirements under the Equality Act 2010 Staff and Members have an opportunity to understand their requirements under the Equality Act 2010 <i>In Progress (75% complete)</i> Establish continuing dialogue with communities to establish whether the impact is as expected Establish continuing dialogue with communities to establish whether the impact is as expected <i>In Progress (60% complete)</i> 	Tom Rutland 23/03/2017 31/12/2016 Tom Rutland 23/03/2017 31/12/2016	Likelihood :3 Impact :3 9 Quarterly	Likelihood :3 Impact :3 9 Quarterly	28/02/2017 Risk of uncontrolled worst case is increased due to new budget setting system. current risk is controlled by continued application of policies and protocols to ensure impacts and inequalities are regarded ahead of decisions being taken.

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		to affect all members of the community by their nature it could affect groups identified under the Equality Act 2010 more profoundly and disproportionately. Some of the wider impacts on the community could be: increase in poor mental health, substance misuse, NEETS, young people leaving the county, loss of community cohesion and an increase in community tension. should any of these occur it will accept the individual, their community and potential increase service need from local authorities.						
	ORG0022	<p>Risk Description: Strategic Risk 2014: ICT: Unintentional events, including changes to our IT system, or intentional attempts that damage our systems, property, reputation or one of our other resources.</p> <p>Cause: Communication disruption, reduced satisfaction with services e.g. unplanned downtime for ICT, increased FOI culture. Increase in claims for compensation, increased external / internal fraud, increased tendency to 'work the system'.</p> <p>Consequence: Risk to our customers wellbeing if data can not be accessed, financial cost - reduced funding to meet objectives, reputation damage, ties up management time, cost of extra control, possible aversion to risk taking.</p>	<p>Likelihood :4 Impact :5</p> <p style="background-color: red; color: white; text-align: center; padding: 2px;">20</p> <p>Escalate to SRMG</p>			<p>Likelihood :3 Impact :2</p> <p style="background-color: green; color: white; text-align: center; padding: 2px;">6</p> <p>Six Months</p>	<p>Likelihood :2 Impact :2</p> <p style="background-color: green; color: white; text-align: center; padding: 2px;">4</p> <p>Six Months</p>	13/06/2016 There will always be a risk of either unintentional or malicious damage to our ICT systems. As we gain more control of our systems and build our security, the probability of occurrence recedes. Nevertheless this remains a constant area for vigilance

Report Selection Criteria

Status Flag=ACTIVE - ISNULL(Project Code) - Business Unit Code=ORG